



Appendix A Self-Evaluation for CHASE – MINNESOTA

Eligibility: To determine which level of the CHASE-MINNESOTA partnership a contractor can qualify as a participant, the applicant must provide the chapter’s designated representative with documentation supporting each requirement listed below for the specific level. Annually, the contractor may renew its participation in the partnership. If the contractor wants to proceed to a higher level after one year, the contractor must meet all requirements for a higher level.

Section A – Level 1

<p>1. Develop and implement a comprehensive written safety and health program based on the ANSI A10.38-2000 Guidelines or the OSHA 1989 Safety and Health Program Management Guidelines; it must include a written A Workplace Accident and Injury Reduction (AWAIR) program and Employee Right-to-Know (ERTK) program. The written program shall incorporate in its entirety 29 CFR 1926 Subpart C of the General Safety & Health Provisions. For the purpose of evaluating the implementation of safety programs for existing and prospective program participants, this program allows AGC of MN designated representative(s) access to project sites throughout Minnesota. Coordination for visits will be made initially with the contractor’s partnership contact and, thereafter, with the project superintendent and/or foreman.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>2. Assign at least one <i>trained person</i> with responsibility for employee safety to administer the participant’s safety and health program and to conduct documented safety and health inspections of ongoing work. A trained employee is one who has completed a 30-hour construction training course or safety training equivalent to the 30-hour course within the first 12 months of joining the partnership. Documented ongoing use of a safety consultant or MNOSHA Workplace Safety Consultation may satisfy this requirement.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>3. Conduct an orientation of all new employees in the safety and health program of the company and show evidence of effective employee training for avoidance of hazards specific to the contractor’s worksite(s); for example, pairing veteran workers (as mentors) with workers new to the construction industry.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>4. Conduct and document weekly employee safety meetings.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>5. Conduct and document site safety inspections. Site safety inspections must be conducted as often as needed to assure safety, but for the purposes of this partnership, audits must be documented on at least a weekly basis. The size of the job will dictate whether more frequent documentation is needed. This requirement applies to the general contractor, subcontractor and tier subcontractors.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>6. Develop, implement and maintain a prohibited substance policy and testing program that complies with applicable laws, statutes and agreements. A state-licensed employee assistance program shall be available to any employee covered by the testing program.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>7. Implement a six-foot fall-protection policy for work governed by OSHA Standards for Construction 1926, Subparts L, M and R.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>

8. Have no willful violations that became final within the past three years.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
9. Have no fatalities or catastrophes within the past three years that resulted in serious or willful citations related to the incident.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
10. Receive a jobsite review by AGC of MN representatives.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
11. Show documentation of employee involvement in the safety and health program. A safety committee, comprising company employees and management, or participation of employees in self-audits, site inspections, job hazard analysis, safety and health program reviews, safety training and incident near-miss or accident investigations would be acceptable.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
12. Submit annual activity reports to AGC of MN.	YES <input type="checkbox"/>	NO <input type="checkbox"/>

If the applicant answers “NO” to any of the questions listed above in this section A, the applicant is not eligible to become a partnership participant at this time.

If the applicant answers “YES” to each question, the applicant is eligible to become a partnership participant at the Level 1 for one year.



Section B – Level 2

<p>1. Develop and implement a comprehensive written safety and health program based on the ANSI A10.38-2000 Guidelines or the OSHA 1989 Safety and Health Program Management Guidelines; it must include a written A Workplace Accident and Injury Reduction (AWAIR) program and Employee Right-to-Know (ERTK) program. The written program shall incorporate in its entirety 29 CFR 1926 Subpart C of the General Safety & Health Provisions. For the purpose of evaluating the implementation of safety programs for existing and prospective program participants, this program allows AGC of MN designated representative(s) access to project sites throughout Minnesota. Coordination for visits will be made initially with the contractor's partnership contact and, thereafter, with the project superintendent and/or foreman</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>2. Assign at least one <i>trained person</i> with responsibility for employee safety to administer the participant's safety and health program and to conduct documented safety and health inspections of ongoing work. A trained employee is one who has completed a 30-hour construction training course or safety training equivalent to the 30-hour course. This employee must be dedicated to safety for at least 50 percent of their time; documented ongoing use of a safety consultant may satisfy this requirement.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>3. Conduct an orientation of all new employees in the safety and health program of the company and show evidence of effective employee training for avoidance of hazards specific to the contractor's worksite(s); for example, pairing veteran workers (as mentors) with workers new to the construction industry.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>4. Conduct and document weekly employee safety meetings.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>5. Conduct and document site safety inspections. Site safety inspections must be conducted as often as needed to assure safety, but for the purposes of this partnership, audits must be documented on at least a weekly basis. The size of the job will dictate whether more frequent documentation is needed. This requirement applies to the general contractor, subcontractor and tier subcontractors.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>6. Develop, implement and maintain a prohibited substance policy and testing program that complies with applicable laws, statutes and agreements. A state-licensed employee assistance program shall be available to any employee covered by the testing program.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>7. Implement a six-foot fall-protection policy for all subparts/exposures from the OSHA 1926 standard.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>8. Have no willful violations that became final in the past three years.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>9. Have no repeat violations that became final in the past three years.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>10. Have no fatalities or catastrophes within the past three years that resulted in serious or willful citations related to the incident.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>11. Receive a qualifying inspection of at least one representative jobsite by the AGC of MN representative(s).</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>
<p>12. Show documentation of employee involvement in the safety and health program. A safety committee, comprising company employees and management, or participation of employees in self-audits, site inspections, job hazard analysis, safety and health program reviews, safety training and incident near-miss or accident investigations would be acceptable.</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input type="checkbox"/></p>

13. Maintain a total case injury/illness incidence rate (three-year average) that is below the most current Bureau of Labor Statistics, state of Minnesota rate for the applicant's three-digit North American Industrial Classification System (NAICS) code. The most recent numbers will usually be those from the previous calendar year.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
14. Train all field construction supervisory personnel (defined as anyone in the field who is authorized to assign or make decisions about the work). All personnel meeting this definition must complete the OSHA 10-hour course in construction or equivalent.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
15. Submit annual activity reports to AGC of MN.	YES <input type="checkbox"/>	NO <input type="checkbox"/>

If the applicant answers “NO” to any of the questions listed in the above section B, the applicant is not eligible to become a participant in Level 2 of the CHASE – MINNESOTA partnership.

If the applicant has answered “YES” to all questions, then the applicant is eligible to become a partnership participant in Level 2 for one year.



Section C- Level 3

1. Contractor has implemented a comprehensive written safety and health program based on the ANSI A10.38-2000 Guidelines or OSHA's 1989 Safety and Health Program Management Guidelines; and must include a written (AWAIR) A Workplace Accident and Injury Reduction program and (ERTK) Employee Right-to-Know program. The written program shall incorporate in its entirety 29CFR 1926 Subpart C of the General Safety & Health Provisions. Ensure, through inspection, that any written, site-specific, safety and health plan is incorporated by those contractors that have a direct written contract with the applicant.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
2. Contractor maintains a copy of its specialty contractor's safety and health plan, including a written (AWAIR) program and Employee Right-to-Know program, and fall protection plan (where applicable) or contractor requires specialty contractor to follow Level 3 participants plan	YES <input type="checkbox"/>	NO <input type="checkbox"/>
3. Contractor has an employee who administers the firm's safety and health program and conducts documented safety inspections of all work. The employee has completed the AGC Safety Management Course or equivalent in the previous three years..	YES <input type="checkbox"/>	NO <input type="checkbox"/>
4. Contractor has designated safety personnel at each site who conduct documented safety inspections of all work on the contractor's projects, and through training and experience, can recognize hazards and have authority to take prompt corrective action. Training equivalent to the OSHA 30-Hour Construction Outreach Course is satisfactory.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
5. Contractor has trained all field construction supervisory personnel (defined as anyone in the field that is authorized to assign or make decisions about the work). All personnel meeting this definition must complete the OSHA 10-hour course in construction or equivalent. Certification within the previous three years will be deemed to be satisfactory. The applicant agrees to secure this training for a minimum of 30% of this group within the first year after application. The balance of this group will complete this requirement by the end of the second year after acceptance into level 3. Additional training shall be provided for competent persons in such areas as Scaffolding, Excavation, Fall Protection, etc. (this additional training will be predicated by the type and scope of work the applicant routinely conducts).	YES <input type="checkbox"/>	NO <input type="checkbox"/>
6. Contractor conducts an orientation of all new employees in the safety and health program of the company and shows evidence of effective employee training for avoidance of hazards specific to the contractor's worksite(s).	YES <input type="checkbox"/>	NO <input type="checkbox"/>
7. Contractor provides evidence of employee involvement in all levels of the safety and health program, such as self-audits, site inspections, job hazard analysis, safety and health program reviews, safety training and incident near-miss or accident investigations	YES <input type="checkbox"/>	NO <input type="checkbox"/>
8. Develop, implement and maintain a prohibited substance policy and testing program, including random testing, that complies with applicable laws, statutes and agreements. A state licensed Employee Assistance Program shall be available to any employee covered by the testing program.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
9. Contractor conducts and documents weekly employee safety meetings.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
10. Conduct and document self-audits. Self-audits must be conducted as often as needed to assure safety, but for the purposes of this partnership, audits must be documented, at least, on a weekly basis. Size of job will dictate more frequent documentation.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
11. Implement a 6-foot Fall Protection Policy for work governed by OSHA Standards for Construction 1926, Subparts L, M, and R. This requirement would be incorporated for the applicant's direct employees only. Subcontractors to the applicant will be required to follow suit.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
12. Applicants are required to participate in MNOSHA Workplace Safety Consultation Program, whenever feasible.	YES <input type="checkbox"/>	NO <input type="checkbox"/>

13. Contractor maintains a total case injury/illness incidence rate (three-year average) that is 30% below the most current North American Industry Classification System (NAICS) Code. Statistics State of MN rate for the applicant's three digits North American Industry Classification System (NAICS) Code. The most recent numbers will usually be those from the previous calendar year.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
14. Contractor has not received a willful violation which became final in the last three years.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
15. Contractor has not received a repeated serious violation which became final in the last three years.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
16. Contractor has not had any fatalities or catastrophes within the last three years that resulted in serious or willful citations related to the incident.	YES <input type="checkbox"/>	NO <input type="checkbox"/>

If the applicant has answered "NO" to any of the questions listed above in Section C, then the applicant is not eligible to become a partnership participant in Level 3.

If the applicant has answered "YES" to all of the questions, then the applicant is eligible to become a partnership participant in Level 3 for one year, and must receive a qualifying inspection, by the Minnesota OSHA Compliance Occupational Safety and Health Division, in conjunction with the AGC Safety Committee's designated representatives; of at least one representative active jobsite.

Level 3. Contractors can apply for participation in this partnership program for construction projects to last a minimum of six months, but fewer than 18 months. Project type is not restrictive and participation will be available to smaller contractor businesses.

The contractor must immediately correct any serious hazard found during the course of any MNOSHA compliance assistance site visit; MNOSHA will disqualify any employer that fails to do so.

Evaluation Comments:

Signature of Evaluator / Company Contact

Date

Written Program meets CHASE – MINNESOTA requirements: YES NO

It is recommended that participation in the CHASE – MINNESOTA program be approved at this level:

LEVEL 1	LEVEL 2	LEVEL 3
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>